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Facsimile Cover Sheet

Date:

November 7, 2002

Total Pages (incl. cover): 3

To:

Office of Initial Patent Examination's Filing Receipt Corrections

Fax #:

(703) 746-9195

From:

John Fleming

Law Clerk

Re:

US Patent Application Serial No. 09/411,683

Our Reference: 108.1001.02

Dear Sirs:

This facsimile transmission concerns the following United States Patent Application:

Serial No.: 09/411,683 Art Unit No.: 3629

Filing Date: June 3, 2000 (as stated incorrectly on updated filing receipt)

October 4, 1999 (as stated correctly on original filing receipt)

Examiner: Thomas A. Dixon

Title: Interactive Mortgage and Loan Information and Real-Time Trading System

Confirmation No.: 8674

A copy of the updated filing receipt mailed on March 12, 2002, is attached. The filing receipt gives a date of June 3, 200, as the date of filing for the application. The application was actually filed on October 4, 1999, so we request that you change the filing receipt to show the correct date. The attached copy includes a notation of the requested change.

We have also attached a copy of the return receipt postcard that accompanied the application. The U.S. PTO sticker on the card demonstrates that the date of filing was indeed October 4, 1999.

Respectfully Submitted,

John Fleming

Law Clerk

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Fax. No. (703) 746-9195, on November 7, 2002. Typed or printed name of person signing this certificate: John Fleming Signature:

Received from < 6509478438 > at 11/7/02 11:33:00 PM [Eastern Standard Time]



United States Patent and Trademark Office

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APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE RECD | ATTY, DOCKET, NO | DRAWINGS | TOT CLAIMS | IND CLAIMS | 109/411,683 | 06/03/2000 | 2161 | 445 | 108,1001,02 | 2 | 17 | 1

Please change to 10/04/1999

CONFIRMATION NO. 8674

UPDATED FILING RECEIPT

DANIEL B CURTIS SWERNOFSKY LAW GROUP P O BOX 390013 MOUNTAIN VIEW, CA 940390013

Date Mailed: 03/12/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filling Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

STEPHEN K. FRASER, LIVERMORE, CA; SADASHIV ADIGA, HERCULES, CA; SURESH PAYANKANNUR, RICHMOND, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 08/928,559 09/12/1997 PAT 5,995,947

Foreign Applications

If Required, Foreign Filing License Granted 10/25/1999

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

INTERACTIVE MORTAGE AND LOAN INFORMATION AND REAL-TIME TRADING SYSTEM

Preliminary Class



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